

10/13/01  
JC987 U.S. PTO

02-01-01

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Appln. Trans.  
PATENT

**UTILITY PATENT  
APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*

Attorney Docket No. 32941 070591.0135  
First Named Inventor Dror Segal  
Express Mail Label No. EK839851558US

JC972 U.S. PRO  
09/774768  
01/31/01

January 31, 2001

**BY EXPRESS MAIL - Label No. EK839851558US**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Dror Segal, Anne E. Allen and Mark Hicks entitled Virtual Trading Floor System and Method

which includes:

<input checked="" type="checkbox"/> Specification	<u>26</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>8</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>9</u> Total Sheets
- formal	
<u>X</u> informal	

Combined Declaration and Power of Attorney      4 Total Pages  
 Newly executed (original or copy)  
 Copy from a prior application  
(for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

Continuation       Divisional       Continuation-In-Part (CIP)  
of prior application No.   

Amend the specification by inserting, before the first line, the following sentence:

“This is a  continuation  divisional  continuation-in-part  
of copending application Serial No.    filed   ”

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Attorney Docket No. 32941 070591.0135

An Assignment of the invention to \_\_\_\_\_.  
 is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  
 will follow.  
 has been filed in the prior application

Small Entity Statement(s) **ENCLOSED**.  
 Small Entity Statement filed in prior application. Status still proper and desired.  
 Information Disclosure Statement (IDS) PTO-1449  
 Copies of IDS Citations.  
 Preliminary Amendment

Return Receipt Postcard

Other \_\_\_\_\_

Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

<u>FOR</u>	(Col. 1) <u>No. Filed</u>		(Col. 2) <u>No. Extra</u>		Small Entity <u>Rate</u>		OR	Other Than A Small Entity <u>Rate</u>		<u>Fee</u>
<b>Basic Fee</b>										\$710.00
Total Claims	20	-20	=	0	x	9 =	\$0.00	x	18 =	\$0.00
Ind. Claims	4	-3	=	1	x	40 =	\$0.00	x	80 =	\$80.00
Multiple Dependent Claim					+ 135 =			+ 270 =		
					Total		\$0.00			<u>\$790.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

Enclosed

Basic filing fee \$790.00

Recording Assignment \$0.00  
[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$790.00

A check in the amount of \$790.00 to cover filing fee and assignment recordation fee is enclosed.

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PATENT

Attorney Docket No. 32941 070591.0135

Priority

Priority of application Country \_\_, Appln. No. \_\_ filed \_\_ is claimed under 35 U.S.C. 119.

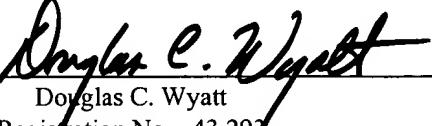
Certified Copy of Priority Document(s) Country \_\_, Appln No. \_\_, filed \_\_.

is/are attached  will follow  has been filed in the parent application S/N \_\_.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTT'S L.L.P.

By

  
Douglas C. Wyatt  
PTO Registration No. 43,293

Enclosures